

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Bernd BRUCHMANN, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/11824

GAU:

FILED: Herewith

EXAMINER:

FOR: USE OF HYPERBRANCHED POLYMERS COMPRISING URETHANE AND/OR UREA GROUPS FOR MODIFYING SURFACES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 270265US0PCT		SERIAL NO. New U.S. PCT Application Based on PCT/EP03/11824	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Bernd BRUCHMANN, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	5,418,301	05/23/95	HULT, Anders et al.			
	AB	5,936,055	08/10/99	ELWELL, Michael J. et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AL	02/36695	05/10/02	WO(with English abstract)			NO
	AM	101 09 803	09/05/02	DE(Equivalent of US 2004/0170832 & WO 02/070578)			NO
	AN	98/27263	06/25/98	WO			NO
	AO	97/00351	01/03/97	WO			NO
	AP	01/96433	12/20/01	WO(with English abstract & equivalent of US 2003/0114057)			NO
	AQ	97/02304	01/23/97	WO(with English abstract & equivalent of US 5,981,684)			NO
	AR	199 04 444	08/10/00	DE(Equivalent of US 6,376,637)			NO
	AS	100 13 187	10/11/01	DE(Equivalent of US 2002/0007036)			NO
	AT	100 30 869	01/03/02	DE(Equivalent of US 2003/0114629)			NO
	AU	102 04 979	08/14/03	DE(with English abstract)			NO
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Docket No.: 270265US0PCT

STATEMENT OF RELEVANCY

- 1) References AA, AL & AM have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AB and AN-AU are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference \_\_\_\_\_ is additional prior art known to Applicant. A copy of these references is being submitted herewith.